

PART B - FEE(S) TRANSMITTAL

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26285 7590 02/25/2010
K&L GATES LLP
535 SMITHFIELD STREET
PITTSBURGH, PA 15222

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 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below

| |
|--------------------|
| (Depositor's name) |
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO | CONFIRMATION NO. |
|-----------------|-------------|----------------------|--------------------|------------------|
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|------------|------------|-------------------|--------|------|
| 10/689,135 | 10/20/2003 | Kevin G. Woodruff | 030677 | 2790 |
|------------|------------|-------------------|--------|------|

TITLE OF INVENTION: SYSTEM AND METHOD FOR INCREASING AN AMOUNT OF A SECURITY AVAILABLE FOR BORROW

| APPLN TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|------------|--------------|---------------|---------------------|---------------------|------------------|----------|
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| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 05/25/2010 |
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| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

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|-----------------------|------|------------|
| HAMMOND III, THOMAS M | 3695 | 705-035000 |
|-----------------------|------|------------|

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. **K&L Gates LLP**

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Morgan Stanley

New York, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Mark G. Knedeisen

Date

24 May 2010

Typed or printed name

Mark G. Knedeisen

Registration No.

42,747

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